

2167

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of : March 17, 2004
Bruce B. Dickson, et al : IBM Corporation
Filing Date: 03/22/2001 : Dept.T81/Bldg. 503
Serial No. 09/814,350 : P.O. Box 12195
For: Method and System for Providing Personalized : Research Triangle Park,
store-Issued Coupons Prior to Shopping : North Carolina 27709
: Art Unit:2167

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information which they became aware of and which there may be a duty to disclose in accordance with 37 CF 1.56 and 37 C.F.R. 1.97(e)(1).

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1).

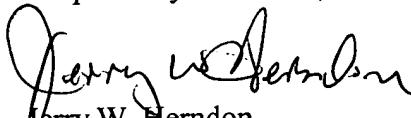
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A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Jerry W. Herndon". The signature is fluid and cursive, with the first name "Jerry" being more prominent.

Jerry W. Herndon
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P.O. 1449 (Modified)

ATTY. DOCKET NO.

SERIAL NO.

RSW920010015US1

09/814,350

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

APPLICANT: Bruce B. Dickson, et al

(Use several sheets if necessary)

FILING DATE:

GROUP:

03/22/2001

2167

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIALS | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE (IF APPRO.) |
|----------------------|----|--------------------|------|------|-------|----------|----------------------------|
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|--|----|-----------------|------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| | AL | | | | | | | |
| | AM | | | | | | | |
| | AN | | | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

| | | |
|--|----|---|
| | AR | "Target Phasing Out 'Smart' Visa Cards", By Nicole Maestri, 03/03/2004, printed from Internet on 3/17/2004. Http://news.yahoo.com/news?tmpl=story2&cid=581&u=/nm/20040303/tc_nm/retail_target |
| | AS | |

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EXAMINER

DATE CONSIDERED

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Docket No. RSW920010015US1

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Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the following attached correspondence comprising:

1. Information Disclosure Statement and form PTO-1449 and 1 reference.
2. Business Reply Post Card

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